

CITY OF GONZALES



PRE-QUALIFICATION QUESTIONNAIRE FOR PROSPECTIVE DESIGN-BUILD ENTITIES

City of Gonzales Community Center Complex Project

July 30, 2021

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PART A MANDATORY REQUIREMENTS

1. NOTICE TO DESIGN-BUILD ENTITIES

The City of Gonzales (hereinafter "the City") intends to contract with a Design-Build Entity to design and construct the Gonzales Community Center Complex ("Project" or "Community Center"). The Project will be located on Gabilan Court at 5th Street, Gonzales, California. The Community Center will provide a flexible, vibrant facility and hub for the community, supporting social needs of all kinds, with operations and ongoing maintenance enabling independent use of various activity spaces, and minimizing operational costs while increasing benefits to the entire community.

The Project is approximately 23,000 square feet with approximately 3.6 acres of sitework. The construction value of the Design-Build Contract will be approximately \$20 to \$22 Million.

The Pre-Qualification Questionnaire and other documents including addenda will be posted to the City website at: <https://gonzalesca.gov/engaged-community/gonzales-community-center-complex>

For information, please contact Robert Kagiyama of Swinerton Management & Consulting **via e-mail only at rkagiyama@swinerton.com**. Please include in your request your Company Name, Contact Person, Mailing Address, e-mail address, office and mobile telephone.

As distribution of this document is by email, outside plan rooms and other means, it's the Design-Build Entity's responsibility to advise Robert Kagiyama that you need to be included on the official distribution list for the project to receive any addenda.

Responses to this Pre-qualification questionnaire should be mailed or delivered as follows: one (1) unbound, five (5) bound hard copies and one (1) electronic copy in PDF format that is less than 15 MB file size of their filled-out questionnaire (with firm's name and address clearly and conspicuously marked on the outside) to:

Patrick Dobbins
Public Works Director/City Engineer
City of Gonzales
PO Box 647
147 Fourth Street
Gonzales, CA 93926

2. PROCESS AND INFORMATION

BACKGROUND:

Constructing a Community Center in Gonzales has been considered for the last 20 years. Over the last two years, interest in building a Community Center grew and a 0.5% sales tax increase (Measure X) was placed on the November 2020 ballot and was approved by the voters. Passage of Measure X

will provide approximately \$13 million toward the facility, which is significant funding for the project. \$5 million for the Teen Innovation Center was included in the 2021/22 State budget. City staff is in the process of developing a finance plan identifying other funding sources for the project.

The site for the facility is on Gabilan Court, a city-owned parcel along Fifth Street located just east of Fairview Middle School and across from Gonzales High School. The 3.6-acre site is adjacent to the Joint Use Gym that is a shared facility between the City and the Gonzales Unified School District.

In 2013, very limited architectural drawings were prepared for the Community Center, along with the following Technical Studies:

1. Geotechnical Investigation
2. Environmental Site Assessment Phase I
3. Environmental Site Assessment Phase II
4. Site Civil drawings
5. Traffic and Parking Analysis
6. Acoustic Study
7. Photometric Lighting Study

Gabilan Court is an ideal site for the Community Center, Library and Teen Innovation Center, as it is near the center of town, and close to the high school, middle school, and elementary school.

The Community Center Complex is envisioned to encompass the following elements:

1. 12,000 to 15,000 SF Community Center with a large multi-purpose room, in-door/outdoor stage, flexible meeting rooms, commercial kitchen, and outdoor spaces
2. 6,000 SF Monterey County Free Library (to be relocated from the Gonzales Shopping Center)
3. 5,000 SF Teen Innovation Center (added to the Community Center layout in 2020)
4. On-site parking, landscaped areas and outdoor activity spaces.

A design criteria A/E team has been retained by the City of Gonzales to provide building program, design criteria, and basis of design documents. The above elements may be revised, based on a final building program to be approved by the City.

In order to implement the Project, the City is seeking Pre-Qualification Questionnaires from qualified teams to provide Design-Build services for the Gonzales Community Center.

TIMETABLE:

Per Sections 22160-22169 of the California Public Contract Code, the City of Gonzales has the statutory authority to deliver projects using an alternative method of bidding and building construction projects commonly referred to as 'Design-Build.' This pre-qualification questionnaire is the first step in the selection process, with the following timetable, subject to change:

07/30/2021	City issues DBE Prequalification Questionnaire
08/20/2021 at 1:00PM	Non-mandatory Pre-qualification Conference and Site Visit

08/23/2021 at 4:00PM	Deadline for final questions
09/16/2021 at 4:00PM	DBE Prequalification Questionnaires due
10/04/2021 at 6:00PM	City Council Meeting for presentation of submitted SOQs and Selection of three Pre-qualified Design-Build Entities (DBE's)
11/15/2021 at 6:00PM	City Council Meeting for approval of Programming and Design Criteria
11/18/2021	City issues Request for Proposal to three Prequalified DBE's
12/15/2021	Pre-proposal meeting
January 10 – 28, 2022	Confidential meetings (2 for each DBE)
02/17/2022 at 4:00PM	DBE Proposals due
03/03/2022	Interview DBE Teams
March 4-25, 2022	Select DBE with Best Value Proposal and negotiate Best and Final offer
04/18/2022	City Council Meeting for award of contract with top ranked DBE
04/25/2022	City issues Notice to Proceed to selected DBE

Dates are subject to change

SUBMITTAL PROCESS:

Pre-qualification questionnaires shall be made on the attached forms furnished by the City (and supplemental information as allowed). Any deviation from the Pre-Qualification Questionnaire form or failure to provide the required information may be considered non-responsive and grounds for rejection of the Pre-Qualification Questionnaire.

Members of the Design-Build Entity's team must be determined to be pre-qualified for the Design-Build Entity to be eligible to compete for the Project. Only Design-Build Entities including a team member with a California General Building Contractor License, "A" or "B" Classification, and a Public Works Contractor Registration through the Department of Industrial Relations (DIR), who have been approved through this pre-qualification process will be eligible to compete for the Project. If any team member is not pre-qualified, the Design-Build Entity will not be qualified. Pre-qualification submittals for past projects with the City or for other public agencies are not applicable.

Full Opportunity: The City hereby affirmatively ensures that Disadvantaged Business Enterprises (DBE), Small Local Business Enterprise (SLBE), Small Emerging Local Business Enterprise (SELBE) and Disabled Veterans Business Enterprise (DVBE) firms shall be afforded full opportunity to submit qualifications in response to this notice and will not be discriminated against on the basis of race, color, national origin, ancestry, disability, gender, transgender status, political affiliation, or religion in any consideration leading to the award of contract. No qualified disabled person shall, on the basis of disability, be excluded from participating in, be denied the benefits of, or otherwise by subjected to discrimination in any consideration leading to the award.

Restrictions on Lobbying and Contacts: From the period beginning on the date of the issuance of this Pre-qualification Questionnaire and ending on the date of the award of an agreement to a Design-Build Entity, no person, or entity submitting a response, nor any officer, employee, representative,

agent, or consultant representing such a person or entity shall contact through any means or engage in any discussion regarding this Pre-qualification Questionnaire, the evaluation or selection process/or the award of contract with any member of the City, City Council, or any member of a City selection committee. Any such contact, except as provided herein, shall be grounds for the disqualification of the Design-Build Entity.

Limitations: This Pre-qualification Process is neither a formal request for bids, nor an offer by the City to contract with any party responding to this Pre-qualification Questionnaire. The City makes no representation that participation in the Pre-qualification process will lead to an award of contract. The City shall in no event be responsible for the cost of preparing any response to this Pre-qualification Questionnaire. The City reserves the right to reject any or all pre-qualification questionnaires and to waive any irregularities in any responses received at its sole discretion.

Pre-qualification Conference: A Non-mandatory Pre-qualification Conference will be held at the date and time listed in the above "Timetable" at the project site. In event the Joint Use Gym just over the fence from Gabilan Court (City shares the Joint use Gym with the Gonzales Unified School District) becomes available, the conference will be held inside. A tour of the project site will follow the conference. Attendance at the Pre-qualification Conference is not mandatory for Design-Build Entities attempting to become pre-qualified to compete for this advertised project. Pre-qualification conference attendees should allow ample time to find a parking space and walk to the meeting location.

Requests for Information: Pre-qualification Conference attendees are to register their point of contact (company name, individuals name, phone number, email address and physical location) with the City's Project / Construction Management consultant, Swinerton Management & Consulting, at the Pre-qualification conference to ensure that any addenda issued are sent to the conference attendees. All correspondence for this RFQ process will be directed to this "point-of-contact" person for each DBE.

Applicants may submit requests for information, via e-mail only to rkagiyama@swinerton.com, up to the date and time listed in the above Timetable. All requests for information and responses will be issued via Addenda.

All addenda will be posted to the City's website at: <https://gonzalesca.gov/engaged-community/gonzales-community-center-complex>

Request for Information submitted later than the date and time listed in the above Timetable will not be responded to. Addenda with Requests for Information and responses will be also sent via email to all "points-of-contact" for each DBE.

Requirements for Pre-Qualification: The City's evaluation is solely for the purpose of determining which design-build entities (DBE) are deemed qualified. Pre-qualification of applicants will be determined by the City based upon the submitted Pre-Qualification Questionnaire, and any other information available to the City. The City may request a contractor to submit additional information. The City also reserves the right to investigate and rely upon information from other available sources in addition to any documents or information submitted by the Applicant.

The City's decision on pre-qualification will be based on the evaluation of several factors including but not limited to the following:

- Demonstrated experience on comparable projects
- Experience of key personnel and tenure at current employer
- Past experience working together
- References from similar public agency projects
- Licensing and registration requirements
- Claims, litigation and arbitration history
- Disqualification and litigation associated with previous projects
- Compliance with Workers' Compensation statutory requirements and safety record
- Insurance coverages
- Bonding capacity
- Financial information

Only Design-Build Entities short-listed by the City will be issued a formal Request for Proposal. **The intent of the City is to short-list three (3) Design-Build Entities (DBE's). The City may elect to interview DBE's following submittal of Pre-qualification Questionnaires, prior to determining the final short-list of DBE's.**

Design Consultants: Information regarding Design Consultants is required to be submitted in "Part A" of the Mandatory Requirements. Please note that the Design Consultant preparing the Design-Build Criteria Documents (Group 4 Architecture, Research + Planning) is not eligible to be included on any Design-Build Entity teams for this Project.

Records Exempt from Disclosure: The information contained in these questionnaires and the financial statements provided to the City are not public records and shall not be open to public inspection; however, records of the names of contractors applying for prequalification status shall be public records subject to disclosure.

Request for Proposal: Upon completion of the pre-qualification process a Request for Proposal (RFP) may be issued to the top three (3) pre-qualified Design Build Entities. The RFP may include, but will not be limited to, the following:

- Criteria Documents (Design concept sketches or Drawings and Specifications)
- Division 00 & 01 Specifications
- Sample City Design-Build Agreement
- Program and Scope Requirements
- Submittal Requirements
- City Standards
- Timeline
- Soils Report(s)
- Hazardous Materials Report(s)
- Survey(s)
- Additional information

Stipend: A reasonable stipend, considering the effort required to prepare a proposal, will be paid to the firms that are unsuccessful in being selected to contract with the City on this Project.

Award: Selection of the successful DBE will be based on the responsive proposal that provides for the “best value” to the City as defined Sections 22160-22169 of the California Public Contract Code. It is the City’s intent to award the project based on the Proposal that provides the best value to the City for the stipulated sum. The City makes no representation that participation in the RFP process will lead to an award of contract. Final selection of a Design-Build Entity and the terms and conditions of any and all agreements shall be at the discretion of the City.

Evaluation and Scoring: Please note that, to the extent that the Pre-qualification Questionnaire and other RFP documents are public records under California law, the documents may be released to the public if requested by members of the public. Draft scoring records are exempt from disclosure and will not be released, although a summary of the scores may be provided.

Contract Requirements: Design-Build Entities are advised that this specific project is subject to and must fully comply with all of the requirements of the RFP documents including, but not limited to, the provision of a Payment Bond and a Performance Bond. The bonds shall be in the form of surety bonds issued by a corporation licensed in the State of California and satisfactory to both the City and its agents. Sureties must be California State registered Class “A” Securities. In accordance with provisions of Public Contract Code Section 22300, substitution of eligible and equivalent securities for any monies withheld to ensure performance under this contract will be permitted at the request and expense of the Design-Build Entity.

Prevailing Wage Requirements: Contractors are further informed that they will be subject to and must comply with all of the requirements under the California Labor Code to pay the general prevailing rate of per diem wages and for holiday and overtime work to all workers. Copies of prevailing rates of per diem wages are available from the Department of Industrial Relations, State of California. Certified payrolls are subject to review and audit by the Compliance Monitoring Unit of the Department of Industrial Relations.

Protest Procedures for Pre-Qualification:

1. Any protest of the City’s decision to not short-list a Design-Build entity must be submitted in writing to the City, no later than 5:00 PM of the second (2nd) business day following the date of pre-qualification determination notification by the City.
2. The initial protest must contain a complete statement and/or the specific statute that forms the basis for the protest. The protest must include the name, address and telephone number of the person representing the protesting party. The protest must be signed and submitted under penalty of perjury.
3. The party filing the protest must have actually submitted a Pre-qualification Questionnaire for the Project or have been specifically excluded from filing a Pre-qualification Questionnaire due to an action by the City. A sub-consultant or subcontractor of a party submitting a Pre-qualification Questionnaire on the Project may not submit a Protest. A party may not rely on

the Protest submitted by another prospective Design-Build Entity, but must timely pursue its own protest.

4. The City Manager or his/her designee shall review the protest and shall issue his/her determination within a reasonable amount of time prior to release of the RFP. The decision shall be final and complete all administrative remedies.
5. The procedure and time limits set forth in this Section are mandatory and are the Design-Build Entity's sole and exclusive remedy in the event of a Protest. A Design-Build Entity's failure to fully comply with these procedures shall constitute a waiver of any right to further pursue a Protest.
6. Any party that submits a protest and challenges the City's determination all the way through an order by a Superior Court finding for the City shall pay the City's attorneys' fees and costs.

3. DECLARATION

IMPORTANT – READ AND COMPLETE PART A – MANDATORY REQUIREMENTS BEFORE SIGNING.

Design-Build Entity must sign declaration below, in addition to specific declarations as required, that the information provided in PART A – MANDATORY REQUIREMENTS is true and correct.

I, _____, authorized agent of the Design-Build Entity,

_____ (Design-Build Entity), hereby declare, under penalty of perjury under the laws of the State of California, that the information provided in PART A -MANDATORY REQUIREMENTS is true and correct.

Signed: _____ Dated: _____

4. DESIGN / BUILD TEAM

Name of Design-Build Entity: _____

If the design-build entity is a privately held corporation, limited liability company, partnership, or joint venture, provide a list of all of the shareholders, partners, or members known at the time of Pre-Qualification Questionnaire submission who will perform work on the Project. Attach separate sheets as necessary:

Identify the firms proposed for this Design Build team:

D/B Entity Role	Firm/Address	Primary Contact
General Contractor	_____ _____ _____	_____
Architect	_____ _____ _____	_____
Landscape Architect	_____ _____ _____	_____
Structural Engineer	_____ _____ _____	_____
Mechanical Engineer	_____ _____ _____	_____
Electrical Engineer	_____ _____ _____	_____

Civil Engineer

_____	_____

Other Firm

_____	_____

Other Firm

_____	_____

Other Firm

_____	_____

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

5. LICENSURE/REGISTRATION

A. All Design-Build Entities must include a **General Contractor** actively licensed in the State of California. Provide the following information.

Contractor's State of California "A" or "B" License Number, name under which license is held, and expiration date:

License No. _____ Name: _____ Exp. Date: _____

1. Has this license ever been revoked? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

2. Has a complaint ever been filed with the Contractor's State License Board against your company that required a formal hearing or inquiry?
Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

3. Has the General Contractor ever been debarred by any public agency in the State of California? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

4. Has the General Contractor ever been sued in the State of California by a public agency for violation of the Federal or California False Claims Act, regardless of disposition of the action? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

5. Is the General Contractor a Joint Venture between one or more firms?
Yes _____ No _____ If so, please provide a copy of the Joint Venture Agreement or Statement of Joint Venture.

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

5. LICENSURE/REGISTRATION (continued)

- B. All Design-Build Entities must have an **Architect** actively licensed in the State of California. Provide the following information.

Architect's License Number, name under which license is held, and expiration date:

License No. _____ Name: _____ Exp. Date: _____

1. Has this license ever been revoked? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

2. Has a complaint ever been filed with the State License Board against your company that required a formal hearing or inquiry? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

3. Has the Architect ever been debarred by any public agency in the State of California? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

4. Has the Architect ever been sued in the State of California by a public agency for violation of the Federal or California False Claims Act, regardless of disposition of the action? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

5. Is the Architect a Joint Venture between one or more firms? Yes _____ No _____ If so, please provide a copy of the Joint Venture Agreement or Statement of Joint Venture.

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

5. LICENSURE/REGISTRATION (continued)

- C. All Design-Build Entities must include a **Structural Engineer** licensed in the State of California. Provide the following information:

Structural Engineer's License Number, name under which license is held, and expiration date:

License No. _____ Name: _____ Exp. Date: _____

1. Has this license ever been revoked? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

2. Has a complaint ever been filed with the State License Board against your company that required a formal hearing or inquiry?
Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

3. Has the Structural Engineer ever been debarred by any public agency in the State of California? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

4. Has the Structural Engineer ever been sued in the State of California by a public agency for violation of the Federal or California False Claims Act, regardless of disposition of the action? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

5. Is the Structural Engineer a Joint Venture between one or more firms?
Yes _____ No _____ If so, please provide a copy of the Joint Venture Agreement or Statement of Joint Venture.

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

5. LICENSURE/REGISTRATION (continued)

- D. All Design-Build Entities must include a **Mechanical Engineer** licensed in the State of California. Provide the following information:

Mechanical Engineer's License Number, name under which license is held, and expiration date:

License No. _____ Name: _____ Exp. Date: _____

1. Has this license ever been revoked? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

2. Has a complaint ever been filed with the State License Board against your company that required a formal hearing or inquiry?
Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

3. Has the Mechanical Engineer ever been debarred by any public agency in the State of California? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

4. Has the Mechanical Engineer ever been sued in the State of California by a public agency for violation of the Federal or California False Claims Act, regardless of disposition of the action? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

5. Is the Mechanical Engineer a Joint Venture between one or more firms?
Yes _____ No _____ If so, please provide a copy of the Joint Venture Agreement or Statement of Joint Venture.

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

5. LICENSURE/REGISTRATION (continued)

- E. All Design-Build Entities must include an **Electrical Engineer** licensed in the State of California. Provide the following information:

Electrical Engineer's License Number, name under which license is held, and expiration date:

License No. _____ Name: _____ Exp. Date: _____

1. Has this license ever been revoked?

Yes _____ No _____

If so, please explain each incident below or on a separate attachment:

2. Has a complaint ever been filed with the State License Board against your company that required a formal hearing or inquiry?

Yes _____ No _____ if so, please explain each incident below or on a separate attachment:

3. Has the Electrical Engineer ever been debarred by any public agency in the State of California? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

4. Has the Electrical Engineer ever been sued in the State of California by a public agency for violation of the Federal or California False Claims Act, regardless of disposition of the action? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

5. Is the Electrical Engineer a Joint Venture between one or more firms?
Yes _____ No _____ If so, please provide a copy of the Joint Venture Agreement or Statement of Joint Venture.

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

5. LICENSURE/REGISTRATION (continued)

- F. All Design-Build Entities must include a **Civil Engineer** licensed in the State of California. Provide the following information:

Civil Engineer's License Number, name under which license is held, and expiration date:

License No. _____ Name: _____ Exp. Date: _____

1. Has this license ever been revoked? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

2. Has a complaint ever been filed with the State License Board against your company that required a formal hearing or inquiry?
Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

3. Has the Civil Engineer ever been debarred by any public agency in the State of California? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

4. Has the Civil Engineer ever been sued in the State of California by a public agency for violation of the Federal or California False Claims Act, regardless of disposition of the action? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

5. Is the Civil Engineer a Joint Venture between one or more firms?
Yes _____ No _____
If so, please provide a copy of the Joint Venture Agreement or Statement of Joint Venture.

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

5. LICENSURE/REGISTRATION (continued)

- G. All Design-Build Entities must include a **Landscape Architect** licensed in the State of California. Provide the following information:

Landscape Architect's License Number, name under which license is held, and expiration date: License No. _____ Name: _____ Exp. Date: _____

1. Has this license ever been revoked? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

2. Has a complaint ever been filed with the State License Board against your company that required a formal hearing or inquiry?
Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

3. Has the Landscape Architect ever been debarred by any public agency in the State of California? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

4. Has the Landscape Architect ever been sued in the State of California by a public agency for violation of the Federal or California False Claims Act, regardless of disposition of the action? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

5. Is the Landscape Architect a Joint Venture between one or more firms?
Yes _____ No _____
If so, please provide a copy of the Joint Venture Agreement or Statement of Joint Venture.

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

5. LICENSURE/REGISTRATION (continued)

H. Other firms licensed in the State of California. Provide the following information for each:

Discipline: _____

License Number, name under which license is held, and expiration date:

License No. _____ Name: _____ Exp. Date: _____

6. Has this license ever been revoked? Yes _____ No _____
If so, please explain each incident below or on a separate attachment:

7. Has a complaint ever been filed with the State License Board against your company that required a formal hearing or inquiry?
Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

8. Has the Firm ever been debarred by any public agency in the State of California?
Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

9. Has the Firm ever been sued in the State of California by a public agency for violation of the Federal or California False Claims Act, regardless of disposition of the action? Yes _____ No _____ If so, please explain each incident below or on a separate attachment:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

6. PREVAILING WAGE COMPLIANCE

1. Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the **state's** prevailing wage laws?

NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

Yes No

If "yes," attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

2. During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the **federal** Davis-Bacon prevailing wage requirements?

Yes No

If "yes," attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

7. FINANCIAL INFORMATION

A. Financial Statements and Supplementary Information

Financial pre-qualification may be established by determining capacity to perform the City contract in the following manner:

1. Working capital is determined from the most recent balance sheet submitted, by subtracting current liabilities from current assets.
2. Available lines of credit or other credit facilities are then added to the Working Capital, and the sum is multiplied by ten.
3. Uncompleted work on contracts which have been awarded (backlog), are then subtracted from the amount determined from item #2 above.
4. Submit the calculation, and total resulting from item #3 above.

If the amount in Item #4 above is less than \$25,000,000 please provide alternative information that indicates clearly your ability to meet the financial requirements of the anticipated City contract. Examples include descriptions and documentation regarding low working capital, low credit facilities, or high backlog amounts which impact the calculation. This information must include the required data described in Section 7.B below, and sufficient supplemental analysis and description as needed to clearly present your position. This information must be submitted with your pre-qualification package. It is your responsibility to make the above-described calculation and determine if additional information will be required to demonstrate your ability to perform this project.

B. Information you must submit includes:

1. Full set of financial statements for your most recent three (3) complete fiscal years, accompanied by either an audit or review report prepared by an independent Certified Public Accountant. Compiled or internally prepared financial statements will not be accepted. Financial statements older than six months must be supplemented by internally prepared financial statements, which update the information to no more than six months from the date of submission. Such statements must be prepared in accordance with generally accepted accounting principles, including all required informative disclosures.
2. Letter from a financial institution in support of available lines of credit or other facilities, if you wish them to be considered in pre-qualification. See Exhibit 1 (General Statement of Bank Credit) for example.
3. Schedule indicating contracts, which have been awarded to you, and reconciling the original award, any amendments, completed portion and uncompleted portion of such contracts. This is your backlog of work awarded but not yet complete.

7. FINANCIAL INFORMATION (continued)

C. Surety and Bonding Requirements

1. Attached a notarized statement from the bonding company your firm proposes to use indicating their commitment to provide a performance and payment bond for the full amount of the contract.
2. List the names of at least three (3) bonding companies utilized by the firm in the last five (5) years, for projects over \$15 million. (State the number of times the bonding company has completed any part of your work during the last five (5) years:

NAME OF BONDING COMPANY No. 1: _____

Address: _____

Contact Name: _____ Telephone: _____ FAX: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

7. FINANCIAL INFORMATION (continued)

NAME OF BONDING COMPANY No. 2: _____

Address: _____

Contact Name: _____ Telephone: _____ FAX: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

7. FINANCIAL INFORMATION (continued)

NAME OF BONDING COMPANY No. 3: _____

Address: _____

Contact Name: _____ Telephone: _____ FAX: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

Project Name: _____

Amount Bonded: _____ % Completed: _____

8. INSURANCE

Refer to “Exhibit 2” (Bonds, Insurance and Indemnity) attached to this Pre-qualification Questionnaire for insurance requirements for this project. Each policy of insurance carried by the Design-Build Entity for this project shall be issued by an insurance company licensed to do business in California with a rating classification of “A-” or better and a financial size category rating of “V” or better according to the latest addition of “Bests.”

Attach a notarized statement from the Workers’ Compensation carrier specifying contractor’s current Experience Modification Rating for Workers’ Compensation for the State of California. List referenced ratings and corresponding companies for the last three (3) years in accordance with the requirements spelled out in “Exhibit 2.” Also, please attach a declaration that such limits as described in said exhibit is obtainable by the entity submitting this Pre-Qualification Questionnaire.

Name of Insurance Company: _____
Address: _____
Contact Name: _____ Telephone: _____ Fax: _____
Rating: _____

Name of Insurance Company: _____
Address: _____
Contact Name: _____ Telephone: _____ Fax: _____
Rating: _____

Name of Insurance Company: _____
Address: _____
Contact Name: _____ Telephone: _____ Fax: _____
Rating: _____

Name of Insurance Company: _____
Address: _____
Contact Name: _____ Telephone: _____ Fax: _____
Rating: _____

9. EXHIBITS:

EXHIBIT 1 – GENERAL STATEMENT OF BANK CREDIT

EXHIBIT 2 – BONDS, INSURANCE, AND INDEMNITY

EXHIBIT 3 – PERFORMANCE BOND

EXHIBIT 4 – PAYMENT BOND

EXHIBIT 1

GENERAL STATEMENT OF BANK CREDIT

(Date) _____

Bank Reference # _____

To Whom It May Concern:

In connection with the pre-qualification of

(Name of Design-Build Entity)

Under Section 22160 et seq. of the Public Contract Code to perform contracts with the City of Gonzales, we hereby declare that said Design-Build Entity has been extended a line of credit in a total amount not exceeding \$ _____, and that such credit will not be withdrawn or reduced without notice to the City.

This letter is signed with the understanding that it is a document to be used by the City only for the purpose of determining the financial resources of said Design-Build Entity available for use in performing work under contracts, which may be awarded by the City during the term of its pre-qualification.

This General Statement of Bank Credit supersedes and replaces any General Statement of Bank Credit from the same Bank, which may have been filed with the current Design-Build Entity's Statement of Experience and Financial Condition and will EXPIRE with the Annual Contractor's Statement of Experience and Financial Condition for which the line of credit was issued.

(Name of Bank)

(Address)

By _____ (Signature of Bank Representative)

(Printed name and Title)

(Phone)

PLEASE NOTE: The above form is optional and may be used to augment your Working Capital or help your firm establish a rating when completed by your bank; if they prefer, one with the same provisions may be issued on the bank's own letterhead.

EXHIBIT 2

BONDS, INSURANCE, AND INDEMNITY

1. Performance and Payment Bonds

- a. Design-Build Entity shall furnish to the City, prior to the awarding of any contract, a surety bond in favor of the City in the amount of not less than one hundred percent (100%) of the amount of the Contract, to guarantee faithful performance of Contract and a payment bond, each in the form attached to the Design Build Agreement. Bond shall guarantee completion of the Contract, repair or replacement of deficient, defective or faulty materials and workmanship for a period of one year following completion of the Project unless otherwise required in the Contract Documents. Bond shall be issued by an admitted surety with a rating classification of "A VIII" or better according to Best's Rating Service.
- b. City acknowledges that any faithful performance and payments bonds provided by Design-Build Entity shall not apply to errors or omissions in the furnishing of professional services in connection with architecture or engineering services provided by Design-Build Entity or its consultants. City hereby waives and releases all claims against such sureties arising out of or relating to such professional errors and omissions; such release, however, does not apply to a failure to provide professional services where required under the Contract, and the performance bonds shall include the costs of such services. See Exhibit 3, Performance Bond, and Exhibit 4, Payment Bond.

2. Insurance

Professional Liability Insurance. Upon execution of this contract, Design-Builder shall obtain professional liability insurance with limits of at least five million dollars (\$5,000,000) per claim and aggregate which shall cover claims resulting from professional errors and omissions of Design-Builder and any of its consultants in connection with the work provided such claims arise during the period commencing upon the preparation of the construction documents and ending five (5) years following Final completion. Such insurance shall be in form reasonably acceptable to the City's Risk Manager.

EXHIBIT 3

PERFORMANCE BOND

KNOW ALL MEN BY THESE PRESENTS, that

_____ (hereinafter called the "Principal") as Principal and _____, a corporation organized and existing under the laws of the State of _____ (hereinafter called the "Surety"), as Surety, are held and firmly bound to CITY OF GONZALES (hereinafter called the "City"), as Obligee, in the sum of _____ Million Dollars (\$ _____), for the payment of which sum well and truly be made, the said Principal and Surety bind themselves, and their respective heirs, subcontractors, contractors, successors and assigns, jointly and severally, thereby by these presents.

WHEREAS, (I) the Design-Build Entity has entered into a Design Build Agreement (hereinafter called the "D/B Agreement") with the City for the design and construction of the Gonzales Community Center Project (hereinafter called the "Project"); and

WHEREAS, the Principal has submitted a bid for the work on the Project.

NOW THEREFORE, THE CONDITION OF THE OBLIGATION IS SUCH, that if the Principal shall well and truly perform all of the undertakings, covenants, terms, conditions and agreements of the Contract Documents within the time provided therein and any extensions thereof that may be granted by the Obligee, as applicable, and during the life of any guaranty or warranty required under the Contract Documents and shall also well and truly perform all of the undertakings, covenants, terms conditions and agreements of any and all duly authorized modifications of the Contract Documents that may hereafter be made, and shall indemnify and save harmless the Obligee of and from any and all loss, damage, and expense, including costs and attorneys' fees, from which the said Obligee may sustain by reason of failure to do so, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

The said Surety agrees that no change, extension of time, alterations, additions, omissions or other modifications of the terms of the Contract Documents or in the work to be performed with respect to the Project, or in the specifications of plans, or by any change or modification of any terms or payment or extension of any time for any payment pertaining or relating to the Contract Documents, or by rescission or attempted rescission of the Contract Documents, or this Bond, or by any condition precedent or subsequent in this Bond attempting to limit the right of recovery of Obligee otherwise entitled to recover under this Bond, or by any fraud practiced by any person other than the Obligee seeking to recover on this Bond, shall in anyway affect its obligation on this Bond, and it does hereby waive notice of any such changes, extensions of time, alterations, additions, omissions or other modifications.

The Surety agrees that to the extent that payment of sums pursuant to the Contract Documents undertaken by the Surety, and the payment obligation could be construed as an obligation under this Bond or the payment bond issued by the Surety contemporaneously with the issuance of the Bond, such payment shall be treated solely as the discharge of an obligation under the payment bond and shall not reduce or impact on the Surety's obligations under this Bond.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction is to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted here from and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

IN WITNESS WHEREOF, the above bounded parties have executed this instrument under their several seals this _____ day of _____, 20____, the names and corporate seals of the corporate parties being hereto affixed and those presents duly signed by their undersigned representatives, pursuant to authority of their governing bodies.

WITNESS:

PRINCIPAL (DESIGN-BUILD ENTITY)

_____ By: _____ or secretary attest

Title: _____ Address: _____

SURETY:

_____ By: _____ or secretary attest

Title: _____ Address: _____

EXHIBIT 4
PAYMENT BOND

KNOW ALL MEN BY THESE PRESENTS, THAT

WHEREAS, the CITY OF GONZALES (herein after called the "City") has awarded a Design Build Agreement (hereinafter called the "D/B Agreement") to _____, the Design-Build Entity (hereinafter called the "Design-Build Entity") for the design and construction of the Gonzales Community Center Project (hereinafter called the "Project"); and

WHEREAS, the Design-Build Entity is required to furnish a payment bond in connection with the Contract Documents, to secure the payment of claims of Design-Build Entity laborers, mechanics, materialmen and other persons as provided by law.

NOW THEREFORE, we the undersigned Principal (Design-Build Entity) and Surety are held and firmly bound unto the City in the sum of _____ Million Dollars (\$ _____) for which payment well and truly to be made we bind ourselves our heirs, executors and administrators, successors and assigns, jointly and severally firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH that if the Principal, or its heirs, executors, administrators, successors or assigns or subcontractors, shall fail to pay any of the persons named in California Civil Code Section 9100, 3181, or amounts due under the Unemployment Insurance Code with respect to work or labor performed under the Agreement, or any amounts required to be deducted, withheld and paid over to the Employment Development Department from the wages of employees of the Design-Build Entity and its subcontractors pursuant to Section 13020 of the Unemployment Insurance Code with respect to such work and labor, the Surety, will pay for the same, in an amount not exceeding the sum specified in this bond, and also will pay, in case suit is brought upon this bond, a reasonable attorney's fee, to be fixed by the court.

This bond shall inure to the benefit of any of the persons named in California Civil Code Section 9100 as to give a right of action to such persons or their assigns in any suit brought upon this bond.

Notwithstanding the number of claimants on this bond or any underlying law to the contrary, the Surety shall not be liable under this bond for an amount greater than the aggregate penal sum designated above.

The said Surety agrees that no change, extension of time, alterations, additions, omissions or other modifications of the terms of the Contract Documents, or in the work to be performed with respect to the Project, or in the specifications or plans, or by any change or modification of any terms of payment or extension of the time for any payment pertaining or relating to the Contract Documents, or by any rescission or attempted rescission of the Contract Documents, or this

Bond, or by any conditions precedent or subsequent in this Bond attempting to limit the right to recovery of claimants otherwise entitled to recover under this Bond, or any fraud practiced by any person other than the claimant seeking to recover on this Bond, shall in any way affect its obligations on this Bond, and it does hereby waive notice of any such changes, extensions of time, alterations, additions, omissions or other modifications.

When this Bond had been furnished to comply with a statutory or other legal requirement in the location where the construction is to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted here from and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not a common law bond.

IN WITNESS WHEREOF, the above bound parties have executed this instrument under their several seals this _____ day of _____, 20_____, the names and corporate seals of the corporate parties being hereto affixed and those presents duly signed by their undersigned representatives, pursuant to authority of their governing bodies.

WITNESS:

PRINCIPAL (DESIGN-BUILD ENTITY)

_____ By: _____ or secretary attest

Title: _____ Address: _____

SURETY:

_____ By: _____ or secretary attest

Title: _____ Address: _____

PART B

RELEVANT EXPERIENCE

CONTENTS

10. RELEVANT EXPERIENCE OF THE DESIGN BUILD TEAM
30% of evaluation
11. RELEVANT EXPERIENCE / TRAINING OF KEY PERSONNEL
20% of evaluation
12. APPRENTICESHIP PROGRAM
5% of evaluation
13. SAFETY RECORD
10% of evaluation
14. EXPERIENCE OF WORK COMPLETED WITH DESIGN-BUILD DELIVERY
AND/OR TEAMING APPROACH
20% of evaluation
15. TERMINATION/FAILURE TO COMPLETE/ VIOLATIONS; CLAIMS,
ARBITRATION AND LITIGATION
15% of evaluation

10. RELEVANT EXPERIENCE OF THE DESIGN BUILD TEAM

Each of the team participants shall submit examples of relevant projects as described in Part B of the Pre-qualification Questionnaire for the specific criteria required. Submit examples from the General Contractor, Architect of Record, Structural/Mechanical/Electrical Engineers, Landscape Architect and others as appropriate. Information shall be submitted in the following format, or clearly and concisely presented equivalent, and will identify the relevance of each project identified as applicable to the City's Gonzales Community Center Complex Project.

A. GENERAL CONTRACTOR:

Name of General Contractor: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Centers, Public Libraries, City Facilities, New Construction, Suburban sites, and projects in California. **Clearly identify the relevance of each project.**

- List five (5) projects with a construction cost each in excess of \$15 million dollars (at least two must be for Public Agencies).
- List three (3) projects using a form of the Design-Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design-Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (**Attach additional sheets as required**):

Project Team Members: _____

Project Name, Location, Description, and Relevance: _____

Owner: _____ Representative: _____ Telephone: _____
Architect: _____ Electrical Engineer: _____
Structural Engineer: _____ Construction Manager: _____
Mechanical Engineer: _____ Other Consultants: _____
Landscape Architect: _____

Project Type: _____ Gross Square Footage: _____
Scheduled Completion Date: _____ Actual Completion Date: _____
Explain Difference, if any: _____
Original Contract Amount: _____ Final Contract Amount: _____
Explain Difference, if any: _____

Delivery Method: [] Design Build [] Design/Bid/Build . Other: _____

Describe Design Build or Relevant Delivery Method: _____

B. ARCHITECT:

Name of Architect: _____

Submit examples of **Relevant Projects** completed, as Architect of Record, within the last ten (10) years or under current contract. **Relevant projects include:** Community Centers, Public Libraries, City Facilities, New Construction, Suburban sites, and projects in California. **Clearly identify the relevance of each project.**

- List five (5) projects with a construction cost each in excess of \$8 million dollars (at least two must be in California).
- List three (3) projects using a form of the Design-Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design-Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (**Attach additional sheets as required**):

Project Team Members: _____

Project Name, Location, Description, and Relevance: _____

Owner: _____ Representative: _____ Telephone: _____

Contractor: _____ Electrical Engineer: _____

Structural Engineer: _____ Construction Manager: _____

Mechanical Engineer: _____ Other Consultants: _____

Landscape Architect: _____

Project Type: _____ Gross Square Footage: _____

Scheduled Completion Date: _____ Actual Completion Date: _____

Explain Difference, if any: _____

Original Contract Amount: _____ Final Contract Amount: _____

Explain Difference, if any: _____

Delivery Method: [] Design Build [] Design/Bid/Build . Other: _____

Describe Design Build or Relevant Delivery Method: _____

C. STRUCTURAL ENGINEER:

Name of Structural Engineer: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Centers, Public Libraries, City Facilities, New Construction, Suburban sites, projects in California, and projects in similar Seismic Zones. **Clearly identify the relevance of each project.**

- List three (3) projects with a construction cost each in excess of \$8 million dollars (at least two must be in California).
- List three (3) projects using a form of the Design-Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design-Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (**Attach additional sheets as required**):

Project Team Members: _____

Project Name, Location, Description, and Relevance: _____

Owner: _____ Representative: _____ Telephone: _____

Contractor: _____ Electrical Engineer: _____

Architect: _____ Civil Engineer: _____

Mechanical Engineer: _____ Construction Manager: _____

Other Consultants: _____

Landscape Architect: _____

Project Type: _____ Gross Square Footage: _____

Scheduled Completion Date: _____ Actual Completion Date: _____

Explain Difference, if any: _____

Original Contract Amount: _____ Final Contract Amount: _____

Explain Difference, if any: _____

Delivery Method: [] Design Build [] Design/Bid/Build . Other: _____

Describe Design Build or Relevant Delivery Method: _____

D. MECHANICAL ENGINEER:

Name of Mechanical Engineer: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Centers, Public Libraries, City Facilities, New Construction, Suburban sites, projects in California, Projects in similar climate zones, and projects with sustainable mechanical systems. **Clearly identify the relevance of each project.**

- List three (3) projects with a construction cost each in excess of \$8 million dollars (at least two must be in California).
- List three (3) projects using a form of the Design-Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design-Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (**Attach additional sheets as required**):

Project Team Members: _____

Project Name, Location, Description, and Relevance: _____

Owner: _____ Representative: _____ Telephone: _____

Contractor: _____ Electrical Engineer: _____

Architect: _____ Civil Engineer: _____

Structural Engineer: _____ Construction Manager: _____

Other Consultants: _____

Landscape Architect: _____

Project Type: _____ Gross Square Footage: _____

Scheduled Completion Date: _____ Actual Completion Date: _____

Explain Difference, if any: _____

Original Contract Amount: _____ Final Contract Amount: _____

Explain Difference, if any: _____

Delivery Method: [] Design Build [] Design/Bid/Build . Other: _____

Describe Design Build or Relevant Delivery Method: _____

E. ELECTRICAL ENGINEER:

Name of Electrical Engineer: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Centers, Public Libraries, City Facilities, New Construction, Suburban sites, projects in California, Projects in similar climate zones, and projects with sustainable electrical systems **Clearly identify the relevance of each project.**

- List three (3) projects with a construction cost each in excess of \$8 million dollars (at least one must be in California).
- List three (3) projects using a form of the Design-Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design-Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

Project Team Members: _____

Project Name, Location, Description, and Relevance: _____

Owner: _____ Representative: _____ Telephone: _____

Contractor: _____ Civil Engineer: _____

Architect: _____ Construction Manager: _____

Structural Engineer: _____ Other Consultants: _____

Mechanical Engineer: _____

Landscape Architect: _____

Project Type: _____ Gross Square Footage: _____

Scheduled Completion Date: _____ Actual Completion Date: _____

Explain Difference, if any: _____

Original Contract Amount: _____ Final Contract Amount: _____

Explain Difference, if any: _____

Delivery Method: [] Design Build [] Design/Bid/Build . Other: _____

Describe Design Build or Relevant Delivery Method: _____

F. CIVIL ENGINEER:

Name of Civil Engineer: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant Projects** include Community Centers, Public Libraries, City Facilities, New Construction, Suburban sites, projects in California, and projects with similar topography. **Clearly identify the relevance of each project.**

- List three (3) projects with a construction cost each in excess of \$8 million dollars (at least one must be in California).
- List three (3) projects using a form of the Design-Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design-Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

Project Team Members: _____

Project Name, Location, Description, and Relevance: _____

Owner: _____ Representative: _____ Telephone: _____

Contractor: _____ Electrical Engineer: _____

Architect: _____ Construction Manager: _____

Structural Engineer: _____ Other Consultants: _____

Mechanical Engineer: _____

Landscape Architect: _____

Project Type: _____ Gross Square Footage: _____

Scheduled Completion Date: _____ Actual Completion Date: _____

Explain Difference, if any: _____

Original Contract Amount: _____ Final Contract Amount: _____

Explain Difference, if any: _____

Delivery Method: [] Design Build [] Design/Bid/Build . Other: _____

Describe Design Build or Relevant Delivery Method: _____

G. Landscape Architect:

Name of Landscape Architect: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Centers, Public Libraries, City Facilities, New Construction, Suburban sites, projects in California, Projects in similar climate zones, and projects with sustainable electrical systems **Clearly identify the relevance of each project.**

- List three (3) projects with a construction cost each in excess of \$8 million dollars (at least one must be in California).
- List three (3) projects using a form of the Design-Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design-Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

Project Team Members: _____

Project Name, Location, Description, and Relevance: _____

Owner: _____ Representative: _____ Telephone: _____

Contractor: _____ Electrical Engineer: _____

Architect: _____ Civil Engineer: _____

Structural Engineer: _____ Construction Manager: _____

Mechanical Engineer: _____ Other Consultants: _____

Project Type: _____ Gross Square Footage: _____

Scheduled Completion Date: _____ Actual Completion Date: _____

Explain Difference, if any: _____

Original Contract Amount: _____ Final Contract Amount: _____

Explain Difference, if any: _____

Delivery Method: [] Design Build [] Design/Bid/Build . Other: _____

Describe Design Build or Relevant Delivery Method: _____

H. OTHER FIRMS ON THE DESIGN-BUILD ENTITY’S TEAM

Name of Consultant and Discipline: _____

Submit examples of relevant projects completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Centers, Public Libraries, City Facilities, New Construction, Suburban sites, projects in California, and project with similar features for the firm’s discipline. **Clearly identify the relevance of each project.**

- List three (3) projects with a construction cost each in excess of \$8 million dollars (at least two must be in California).
- List three (3) projects using a form of the Design-Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design-Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

Project Team Members: _____

Project Name, Location, Description, and Relevance: _____

Owner: _____ Representative: _____ Telephone: _____

Architect: _____ Electrical Engineer: _____

Structural Engineer: _____ Civil Engineer: _____

Mechanical Engineer: _____ Construction Manager: _____

Other Consultants: _____

Landscape Architect: _____

Project Type: _____ Gross Square Footage: _____

Scheduled Completion Date: _____ Actual Completion Date: _____

Explain Difference, if any: _____

Original Contract Amount: _____ Final Contract Amount: _____

Explain Difference, if any: _____

Delivery Method: [] Design Build [] Design/Bid/Build . Other: _____

Describe Design Build or Relevant Delivery Method: _____

11. RELEVANT EXPERIENCE / TRAINING OF KEY PERSONNEL

Present the proposed key personnel for the Design Build team that would **be assigned to or be responsible for work on this project.**

1. Attach a proposed organization chart for your design and construction operations.
2. Describe your team's interface with your primary office and other members of the Design Build team during design and construction.
3. Provide information for your key project team personnel including project assignments and responsibilities. Provide this information for key personnel from the General Contractor, Architect, Structural, Mechanical, Electrical, Civil Engineer, and other consultants. Key personnel shall include project managers, general superintendents, project architects and engineers, and others responsible for the completion of the design and construction of the project. Information shall be submitted in the following format, or clearly and concisely presented equivalent.

A. GENERAL CONTRACTOR:

1. PROJECT MANAGER

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type): _____

Design-Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Centers, Recreation Centers, Libraries, or other Civic projects and/or features:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

A. GENERAL CONTRACTOR:

1. SUPERINTENDENT

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type):

Design-Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Centers, Recreation Centers, Libraries, or other Civic projects and/or features:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

B. ARCHITECT (PROJECT ARCHITECT):

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type):

Design-Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Centers, Recreation Centers, Libraries, or other Civic projects and/or features:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

C. STRUCTURAL ENGINEER (ENGINEER OF RECORD):

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type): _____

Design-Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Centers, Recreation Centers, Libraries, or other Civic projects and/or features:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

D. MECHANICAL ENGINEER (ENGINEER OF RECORD):

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type):

Design-Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Centers, Recreation Centers, Libraries, or other Civic projects and/or features:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

E. ELECTRICAL ENGINEER (ENGINEER OF RECORD):

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type):

Design-Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Centers, Recreation Centers, Libraries, or other Civic projects and/or features:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

F. CIVIL ENGINEER (ENGINEER OF RECORD):

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type):

Design-Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Centers, Recreation Centers, Libraries, or other Civic projects and/or features:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

G. Landscape Architect (Project Landscape Architect):

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type):

Design-Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Centers, Recreation Centers, Libraries, or other Civic projects and/or features:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

H. OTHER FIRMS: Subcontractors, Sub-Consultants, or Other Team Member (as applicable)

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type):

Design-Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Centers, Recreation Centers, Libraries, or other Civic projects and/or features:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

12. APPRENTICESHIP PROGRAM

- A. Provide the **name, address and telephone number** of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for use on the Project:

- B. If your firm operates its own State-approved apprenticeship program:

- 1) Identify the craft or crafts in which your firm provided apprenticeship training in the past year.
- 2) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- 3) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

- C. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Yes No

If "yes," provide the date(s) of such findings, and attach copies of the Department's final decision(s).

13. SAFETY RECORD

Your firm must have an acceptable safety record. A safety record shall be deemed acceptable if the firm's Experience Modification Rate for the most recent three-year period is an average of 1.00 or less, and the firm's average total recordable injury or illness rate and average lost work rate for the most recent three-year period does not exceed the applicable statistical standards for its business category or if the firm is a party to an alternative dispute resolution system as provided for in Section 3201.5 of the Labor Code.

- A. List your firm's Experience Modification Rate (EMR) (California Workers' Compensation insurance) for each of the past three premium years:

NOTE: your workers' compensation insurance carrier issues an Experience Modification Rate to your firm annually.

Current year: _____

Previous year: _____

Year prior to previous year: _____

If your EMR for any of these three years is or was 1.00 or higher, please attach a letter of explanation.

- B. Provide your firm's average total recordable injury or illness rate and average lost work rate for the most recent three-year period, or provide supporting documentation of your firm's participation in an alternative dispute resolution system pursuant to California Labor Code Section 3201.5.

Current year: _____

Previous year: _____

Year prior to previous year: _____

- C. Provide information concerning your firm's workers safety program. Attach separate sheets as needed:

14. EXPERIENCE OF WORK COMPLETED WITH DESIGN/BUILD DELIVERY AND/OR TEAMING APPROACH

Provide three (3) examples of projects in progress or completed together by Contractor and Architect of Record that demonstrate the team's approach and commitment to teaming and partnering. Design/Build projects are preferable, although similar "teaming" delivery

methods, such as Design/Assist or Integrated Project Delivery are also encouraged. Traditional Design-Bid-Build can be included if teaming can be demonstrated. Include the following information:

- Description of each project
- Specific project delivery method (i.e. Design-Build, Design-Build/Bridging, Design Assist, Integrated Project Delivery, etc.)
- Name of the firms involved
- Names of personnel involved
- Role of each firm
- Final contract value
- Client reference

Provide a written statement signed by the key Design Build team members (General Contractor, Architect of Record) summarizing the team's approach and commitment to demonstrated team partnering and design excellence.

15. TERMINATION/FAILURE TO COMPLETE; VIOLATIONS; CLAIMS, ARBITRATION AND LITIGATION

A. Provide a declaration certifying that applying members of the Design-Build Entity have not had a surety company finish work on any project within the last five (5) years:

Declaration:

I, _____, authorized agent of the Design-Build Entity, hereby certifies and declares under penalty of perjury under the laws of the State of California that the members of _____ (Design-Build Entity), have not had a surety company finish work on any project within the last five (5) years.

Signed: _____

Dated: _____

B. Provide information and details below for any construction or design claim or litigation totaling more than fifty thousand dollars (\$50,000) settled against any member of the Design-Build Entity's team within the last five (5) years.

1. PROJECT: _____

Location: _____

Amount of Claim: \$ _____ Resolution Yes [] No []

Date: _____ Nature of Claim: _____

Final Status: _____

16. TERMINATION/FAILURE TO COMPLETE; VIOLATIONS; CLAIMS, ARBITRATION AND LITIGATION (continued)

2. PROJECT: _____
Location: _____
Amount of Claim: \$ _____ Resolution Yes [] No []
Date: _____ Nature of Claim: _____

Final Status: _____

3. PROJECT: _____
Location: _____
Amount of Claim: \$ _____ Resolution Yes [] No []
Date: _____ Nature of Claim: _____

Final Status: _____

(ATTACH ADDITIONAL SHEETS AS REQUIRED)

- C. Provide information and details below for any serious violations of the Occupational Safety and Health Act, as provided in Part 1 (commencing with Section 6300) of Division 5 of the Labor Code, settled against any member of the Design-Build Entity's team.
- D. Provide information and details of any violations of federal or state law, including, but not limited to, those laws governing the payment of wages, benefits, or personal income tax withholding, or of Federal Insurance Contributions Act (FICA) withholding requirements, state disability insurance withholding, or unemployment insurance payment requirements, settled against any member of the Design-Build Entity's team over the last five years. For the purposes of this sub-clause, only violations by a Design-Build Entity's team member as an employer shall be deemed applicable, unless it is shown that the Design-Build Entity's team member, in his or her capacity as an employer, had knowledge of his or her subcontractor's violations or failed to comply with the conditions set forth in subdivision (b) of Section 1775 of the Labor Code.

**16. TERMINATION/FAILURE TO COMPLETE; VIOLATIONS; CLAIMS,
ARBITRATION AND LITIGATION (continued)**

- E. Provide information and details, under penalty of perjury, that any officer of such bidder (Design Build team members), or any employee of such bidder who has a propriety interest in such bidder, has ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation, and if so explain the circumstances.
- F. Provide information and details of any violations by the Design-Build Entity of the Contractor's State License Law (Chapter 9 (commencing with Section 7000) of Division 3 of the Business and Professions Code), excluding alleged violations or complaints.
- G. Provide information and details of any conviction of any member of the Design-Build Entity's team for submitting a false or fraudulent claim to a public agency over the last five (5) years.
- H. Provide information concerning any instance where the Design-Build Entity, its owners, officers or managing employees submitted a bid on a public works project and were found by an awarding body not to be a responsible bidder, or describing any instance where its owners, officers or managing employees defaulted on a construction contract.
- I. Provide information concerning any instance where any member of the Design-Build Entity's team filed for bankruptcy or receivership, or had a surety company finish work on any project:
- J. Provide copies of legal documents, including a copy of the agreement creating a partnership or association formed for the purpose of submitting a proposal to build the Gonzales Community Center Project, specifying the type of entity (Corporation, Partnership, Limited Liability Company, or other association).

Provide a separate statement on company letterhead under penalty of perjury under the laws of the State of California that all partners or members of the association agree to full liability for the performance of the work necessary to design and build the Project if awarded the contract for the work.

Declaration:

I, _____, authorized agent of the Design-Build Entity, _____ (Design-Build Entity), hereby declare under penalty of perjury that the above information is true and correct.

Signed: _____ Dated: _____